

# "THE 2005/06 NATIONAL SURVEY OF INVESTMENT IN MENTAL HEALTH SERVICES"

Prepared by:



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## 1. EXECUTIVE SUMMARY

This report presents the results, for the fifth consecutive year, of the finance mapping exercise carried out as part of the autumn review process. It provides details of the level of investment in adult mental health services in England for 2005/06 and compares it with the results reported in the four previous years<sup>1</sup>. The analysis is derived from the detailed financial returns submitted to Mental Health Strategies (MHS) by Local Implementation Teams (LITs) as part of the annual adult mental health review undertaken by the Department of Health (DH). For the second year PCTs were asked to analyse their commissioning expenditure on all mental health services and to reconcile this analysis to the total mental health spend reported in their programme budgeting returns to the DH in August each year. It should be noted that the programme budgeting analyses are supplementary to the traditional finance mapping returns. They refer to the financial year prior to the current one and they include investment in services such as high secure and substance misuse that are not collected within the annual autumn review of adult mental health. These findings are reported in appendix five to this document.

The greater part of investment by NHS and Local Authority commissioners in adult mental health services was reported to Mental Health Strategies for inclusion in the 2005/06 finance mapping exercise. Of the 174 LITs in England only five failed to provide the finance mapping information, as did a further five local authorities. The estimated value of this unreported investment is £225 million<sup>2</sup>. The coverage of investment in CAMHS referred to in Appendix one to this report is rather less complete. It was decided for example by the DH that services commissioned by local authorities should not be included, nor should investment in CAMHS provided by acute (i.e. non mental health) NHS trusts. As this is only the second year in which data in respect of investment in CAMHS has been collected, and the robustness of the figures is open to question, detailed investment trends are not considered in the present report. However, overall figures are reported in appendix six.

The main adult mental health analysis provides the following overall key findings:

- 0 total planned reported investment in adult mental health services in 2005/06 was £4.68 billion or £150 per head of weighted working age population. This increases to £4.90 billion (£153 per head of weighted population) once allowance is made for unreported investment, and

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<sup>1</sup> Changes to the finance maps for 2005/06 are discussed as appendix (1)

<sup>2</sup> Our knowledge of the likely level of investment from LITs has allowed an estimate of the level of "unreported investment" to be calculated.

- θ a continued increase in reported planned investment in adult mental health. The identified planned cash increase in resources reported was £360 million between 2001/02 and 2002/03, £421 million between 2002/03 and 2003/04, £564 million between 2003/04 and 2004/05 and £205 million between 2004/05 and 2005/06. If the reported investment is adjusted to include the estimated value of unreported investment the increases over the period are as identified in table two of section 2.2 overleaf<sup>3</sup>, which shows a cash increase of £1.65 billion from 2001/02 to 2005/06.
- θ Tables four and eight (a) identify a real increase in reported and unreported investment of more than 3.1% between 2004/05 and 2005/06

Over the period 2001/02 to 2004/05 there was a steady improvement in data quality and completeness in the returns submitted by LITs. Whilst the majority of responses in 2005/06 continued to be of an acceptable standard there was a noticeable increase in confused and incomplete submissions, particularly by PCTs, providing evidence that liaison between LIT leads and their financial colleagues was unsatisfactory in some areas of the country.

## 2. DETAILED ANALYSIS

### 2.1 Unreported Investment

Each year a small number of LITs (or their constituent elements) have been either unable to produce any findings whatsoever, or the level of detail supplied has been limited. We have used our expertise, local knowledge and accumulating database to estimate this unreported investment. The level of unreported investment had declined to only around 1% of the total reported figure in the last two years, thus highlighting the almost complete coverage of Finance Mapping. However, this year the unreported investment has increased to just under 5%. The trend in unreported investment is shown in table one below.

**TABLE ONE: Estimate of ‘unreported’ investment from the finance mapping exercise** *(see also appendix two for the methodology of its calculation)*

Year	Estimated Unreported Investment (£)	% of Total Investment
2001/02	125 million	4.00%
2002/03	220 million	6.30%
2003/04	33 million	0.80%
2004/05	46 million	1.00%
2005/06	225 million	4.80%

<sup>3</sup> It should be acknowledged that some of the apparent changes in planned investment may be due to improved quality of data supplied.

## 2.2 Total Cash Resources in Adult Mental Health

An estimate of total resources in adult mental health services incorporating estimates of unreported information is shown in table two below.

**TABLE TWO: Total cash investment in adult mental health services**

Year	Reported Investment (£b)	Estimated Unreported Investment (£b)	Total Investment (£b)	Annual Increase (£m)
2001/02	3.129	0.125	3.254	
2002/03	3.489	0.220	3.709	455m
2003/04	3.910	0.033	3.943	234m
2004/05	4.474	0.046	4.520	577m
2005/06	4.679	0.225	4.904	384m

The table identifies increases in total cash investment of £455 million (14%) between 2001/02 and 2002/03, £234 million (6.3%) between 2002/03 and 2003/04, £577 million (14.6%) between 2003/04 and 2004/05 and £384 million (8.5%) between 2004/05 and the current year. The “inclusive of unreported investment” total figures will enable an accurate comparison of national levels of investment from year to year. It is therefore recommended that when reporting investment levels on a national basis the total investment figures in table two are used. At levels below the national and SHA investment it is not possible to estimate accurately the analysis of unreported investment to particular service areas.

## 2.3 Total Real Resources in Adult Mental Health

In order to identify “real” increases in investment from year to year we have converted each year’s total investment to the pay and price levels prevailing in 2005/06. Table three below identifies inflation uplifts applied to the four years prior to 2005/06.

**TABLE THREE: Inflation uplifts 2001/02 to 2005/06**

Year	Percentage Uplift	Source
2001/02 to 2002/03	3.50%	HCHS Pay & Price Inflation
2002/03 to 2003/04	5.20%	HCHS Pay & Price Inflation
2003/04 to 2004/05	5.20%	Estimated
2004/05 to 2005/06	5.20%	Estimated

Applying the percentage uplifts in table three to the total investment in table two shows real increases in investment for each successive year of 10.1% in 2002/03, 1.1% in 2003/04, 9.0% in 2004/05 and a planned increase of 3.1% in the current year. The real increase in reported investment from 2001/02 to 2005/06 is 25.1%. This is shown in table four overleaf.

**TABLE FOUR: Total Investment in Adult Mental services 2001/02 to 2005/06, (at 2005/06 pay and price levels)**

Year	Reported Investment (£b)	Estimated Unreported Investment (£b)	Total Investment (£b)	Annual Increase (£m)	Percentage Increase (£m)
2001/02	3.770	0.151	3.921	-	0
2002/03	4.062	0.256	4.318	397m	10.12%
2003/04	4.327	0.037	4.364	46m	1.07%
2004/05	4.707	0.048	4.755	391m	8.96%
2005/06	4.679	0.225	4.904	149m	3.13%
<b>Increase 2001/02 to 2005/06</b>				<b>983m</b>	<b>25.10%</b>

## 2.4 Priority Services Investment

The three key modernisation services identified as priority developments in the Mental Health NSF and the NHS plan are:

- θ Assertive Outreach
- θ Crisis Resolution / Home Treatment, and
- θ Early Intervention in Psychosis.

The finance maps record the investment in these service areas. Levels of investment from 2001/02 onwards are shown in table five below:

**TABLE FIVE: Planned cash investment in priority service areas 2001/02 to 2005/06 (£million)<sup>4</sup>**

Priority Service Areas	2001/02 (£m)	2002/03 (£m)	2003/04 (£m)	2004/05 (£m)	2005/06 (£m)
Assertive Outreach	48.4	62.2	78.5	95.2	101.4
Crisis Resolution / Home Treatment	25.9	30.7	60.0	109.8	155.2
Early Intervention in Psychosis	3.7	6.4	12.8	23.7	41.9
<b>TOTAL</b>	<b>78.0</b>	<b>99.2</b>	<b>151.2</b>	<b>228.6</b>	<b>298.5</b>

Planned cash investment in the three priority teams has increased substantially from £78 million in 2001/02 to almost £300 million in the current financial year. Adjusting for inflation over the period reduces the £220 million cash increase to a real increase of £205 million.

<sup>4</sup> Note that the figures reported in Tables Five and Six have not been adjusted for any estimated unreported investment

## 2.5 The Overall Profile in Investment

The finance maps analyse adult mental health investment across sixteen broad service areas that are consistent with the service mapping definitions, and also across broad cost groups (i.e. direct, indirect, overheads and capital charges). Within the sixteen broad service areas it is possible to carry out analysis in greater depth, e.g. Psychiatric Intensive Care Units within the Secure and High Dependency service category. It is possible therefore to track specific investment trends over time as in table six below for the direct service categories. We have adjusted the historical figures for inflation to bring them to 2005/06 pay and price levels.

**TABLE SIX: Planned real terms investment (£million) in direct service categories over time (at 2005/06 pay and price levels)**

Service Categories	2001/02 (£m)	2002/03 (£m)	2003/04 (£m)	2004/05 (£m)	2005/06 (£m)
CMHTs	483	526	530	570	549
Access & Crisis Services	170	203	254	316	369
Clinical Services	764	754	811	878	838
Secure & High Dependency	330	376	474	621	661
Continuing Care	349	372	380	404	384
Mentally Disordered Offenders	35	33	53	43	38
Other Community & Hospital Professionals	52	50	47	67	86
Psychological Therapies	125	142	143	149	142
Home Support Services	59	63	70	108	91
Day Services	178	163	172	156	151
Support Services	37	47	43	43	43
Carers' Services	10	11	15	18	19
Accommodation	301	315	369	366	362
Mental Health Promotion	6	3	3	2	3
Direct Payments	6	3	6	3	2
Personality Disorder Services	0	0	1	4	10
<b>Total Direct Service Categories</b>	<b>2,904</b>	<b>3,061</b>	<b>3,371</b>	<b>3,749</b>	<b>3,748</b>

Whilst the overall real growth in total investment over the period is 25.1% the actual increases in specific service areas vary widely. Services showing the largest percentage real increases over the past five years together with substantial levels of investment are:

θ	Access & Crisis Services	117%
θ	Secure & High Dependency Services	100%
θ	Home Support	54%
θ	Accommodation	20%
θ	Psychological Therapies	14%
θ	CMHTs	14%
θ	Continuing Care	10%
θ	Clinical Services	10%

The £32 million increase in home support services is largely due to the introduction of “Supporting People” monies into the finance mapping process with effect from 2003/04. The real increases in CMHTs and Access and Crisis teams have not yet made an impact on traditional Clinical Services, which have increased by £74 million over the five years. It should be noted that the 100% increase in secure and high dependency services does not reflect the fact that investment in these services has doubled over the five-year period. Much of the increased investment reported is the result of the increased rigour in the validation process to ensure that services commissioned by means of pooling arrangements are included in LIT submissions. A detailed analysis of total investment for 2005/06, below the broad categories, is shown in appendix three.

The analysis in table six covers investment in direct services only. The extent to which providers have apportioned their costs between direct, indirect, overhead and capital charges may vary from year to year and will have a bearing on this table. The overall percentage split between these cost categories over the last five years is shown in table seven below:<sup>5</sup>

**TABLE SEVEN: Overall Cost Profile**

Cost Area	Percentage Split (%)				
	2001/02	2002/03	2003/04	2004/05	2005/06
Direct	77.0	75.4	78.0	79.6	80.1
Indirect	8.8	10.0	8.7	8.7	8.4
Overheads	9.9	10.1	9.5	8.8	8.4
Capital Charges	4.3	4.5	3.8	2.9	3.1
<b>Total</b>	<b>100</b>	<b>100</b>	<b>100</b>	<b>100</b>	<b>100</b>

The above analysis shows that the proportion of total investment apportioned to direct costs in 2005/06 is higher than in 2001/02 (80.1% compared with 77.0%). This will account for some of the changes identified in table six.

## 2.6 Weighted Investment Analysis

LITs will receive reports<sup>6</sup> in which their own investment profiles are benchmarked against the overall position within:

- θ their Strategic Health Authority
- θ their ONS cluster, and
- θ England as a whole.

<sup>5</sup> It should be acknowledged that some of the apparent increases in planned investment may be due to improved quality of data supplied.

<sup>6</sup> PCTs and provider trusts as well as SHAs have also received benchmarking feedback reports.

The key benchmark however remains the level of investment per head of weighted working age population. The weighting factor adjusts the actual adult population either up or down to take account of relative mental health need within the LIT. The crude 15-64 population from the 2001 Census is weighted by:

- θ a market forces factor
- θ an emergency ambulance cost adjustment, and
- θ the mental health need index.

Table eight overleaf identifies, for each Strategic Health Authority (SHA), its planned investment per head of weighted working age population in adult mental health services for 2005/06. These figures range from £207 per head for North Central London SHA to £128 per head for Kent and Medway and Greater Manchester SHAs. The England average figure is £153, compared with £140 in 2004/05. This represents a 9.3% increase in investment in 2005/06, approximately 4% in excess of expected pay and price inflation for the year. It is not clear how much, if any of this growth is due to improved data quality and how much represents a real increase in investment in adult mental health services by commissioners.

It should be noted when considering table eight that in 2005/06 Wigan LIT is included within the Greater Manchester SHA rather than Cheshire and Merseyside. This change is reflected in both the weighted populations and investment within both SHAs.

Note also that the level of investment within the Kent and Medway SHA has increased by more than 17% compared with 2004/05 when there was evidence that West Kent LIT had substantially under-reported investment by its commissioners. Despite West Kent submitting a comprehensive return in the current year however table eight is still reporting that Kent and Medway SHA contains the lowest level of investment nationally per weighted head of working age population.

**TABLE EIGHT: SHA Planned Investment per Head of Weighted Working Age Population (2005/06)**

SHA	Weighted Population	Total Investment (£'000s)	Overall Investment Per Head (£)
North Central London	1,130,009	234,380	207
South East London	1,277,844	260,590	204
South West London	900,191	166,496	185
North West London	1,587,537	275,728	174
Surrey & Sussex	1,226,966	212,414	173
Avon, Gloucestershire & Wiltshire	1,144,463	192,256	168
Norfolk, Suffolk & Cambridgeshire	1,116,597	184,947	166
Dorset & Somerset	571,689	93,656	164
Hampshire & Isle of Wight	985,939	155,116	157
Essex	866,908	134,130	155
South West Peninsula	817,311	126,243	154
West Yorkshire	1,458,525	224,289	154
North East London	1,525,533	231,272	152
Leicestershire, Northamptonshire & Rutland	902,914	134,663	149
West Midlands South	885,191	129,479	146
Northumberland, Tyne & Wear	1,013,369	147,234	145
Bedfordshire & Hertfordshire	918,906	132,985	145
Cumbria & Lancashire	1,231,830	177,823	144
Cheshire & Merseyside	1,705,852	241,707	142
Birmingham & The Black Country	1,789,155	252,558	141
County Durham & Tees Valley	816,801	113,848	139
North & East Yorkshire & North Lincolnshire	905,588	123,498	136
Shropshire & Staffordshire	872,643	117,419	135
South Yorkshire	878,946	118,112	134
Thames Valley	1,168,926	156,705	134
Trent	1,526,856	199,112	130
Greater Manchester	1,966,938	252,233	128
Kent & Medway	896,076	114,884	128
<b>TOTAL</b>	<b>32,089,503</b>	<b>4,903,776</b>	<b>153</b>

As in previous years the results point to a marked variation in planned investment in adult mental health services between the north and south of England. This can be seen by analysing the SHA results within four broad regions. This analysis is shown for 2002/03 to 2005/06 in table nine on page ten:

**TABLE EIGHT (a) Total Investment by SHA at 2005/06 Pay and Price Levels**

SHA	TOTAL INVESTMENT (reported & unreported)			
	2004/05 (£'000s)	2005/06 (£'000s)	Overall Difference (£'000s)	% Change
Avon, Gloucestershire & Wiltshire	199,631	192,256	-7,375	-3.7%
Bedfordshire & Hertfordshire	131,639	132,985	1,346	1.0%
Birmingham & The Black Country	227,846	252,558	24,712	10.8%
Cheshire & Merseyside	235,518	241,707	6,189	2.6%
County Durham & Tees Valley	109,311	113,848	4,537	4.2%
Cumbria & Lancashire	177,522	177,823	301	0.2%
Dorset & Somerset	88,171	93,656	5,485	6.2%
Essex	127,862	134,130	6,268	4.9%
Greater Manchester	250,589	252,233	1,644	0.7%
Hampshire & Isle of Wight	142,611	155,116	12,505	8.8%
Kent & Medway	103,102	114,884	11,782	11.4%
Leicestershire, Northamptonshire & Rutland	135,812	134,663	-1,149	-0.8%
Norfolk, Suffolk & Cambridgeshire	178,894	184,947	6,053	3.4%
North & East Yorkshire & North Lincolnshire	108,881	123,498	14,617	13.4%
North Central London	234,270	234,380	110	0.0%
North East London	207,874	231,272	23,398	11.3%
North West London	294,247	275,728	-18,519	-6.3%
Northumberland, Tyne & Wear	138,528	147,234	8,706	6.3%
Shropshire & Staffordshire	120,298	117,419	-2,879	-2.4%
South East London	249,401	260,590	11,189	4.5%
South West London	155,019	166,496	11,477	7.4%
South West Peninsula	123,036	126,243	3,207	2.6%
South Yorkshire	110,805	118,112	7,307	6.6%
Surrey & Sussex	204,510	212,414	7,904	3.9%
Thames Valley	150,357	156,705	6,348	4.2%
Trent	202,417	199,112	-3,305	-1.6%
West Midlands South	127,212	129,479	2,267	1.8%
West Yorkshire	221,341	224,289	2,948	1.3%
<b>TOTAL</b>	<b>4,756,704</b>	<b>4,903,777</b>	<b>147,073</b>	<b>3.1%</b>

**TABLE NINE: Regionally Grouped SHAs' Investment Profile 2003/04 to 2004/05**

REGION	Number of SHAs							
	Above Average				Below Average			
	2002/03	2003/04	2004/05	2005/06	2002/03	2003/04	2004/05	2005/06
London	4	4	4	4	1	1	1	1
South	6	3	3	5	1	4	4	2
Midlands & East	2	5	3	2	6	3	5	6
North	2	1	1	1	6	7	7	7
<b>TOTAL</b>	<b>14</b>	<b>13</b>	<b>11</b>	<b>12</b>	<b>14</b>	<b>15</b>	<b>17</b>	<b>16</b>

“London” continues to report that four of its five SHAs plan to invest well above the national average per head of weighted population in 2005/06. The “South” reports that five out of seven SHAs expect to invest more than the average spend per head. In the country as a whole the table demonstrates that a clear majority of sixteen out of 28 SHAs now report planned investment per head below the national average level.

## 2.7 Trends in Key Areas Investment

Table ten overleaf identifies the trend since 2001/02 in key area investment by SHAs at 2005/06 pay and price levels. The total planned investment for the three key areas (assertive outreach teams, crisis resolution home treatment teams and early intervention in psychosis services) in 2005/06 is £298.5 million, a real terms increase of £204.5 million or 217.5% since 2001/02.

It should be noted that it has not been possible to include the estimated value of unreported investment in table ten as the value identified in appendix two is a high level one that cannot be applied to detailed service categories. It is clear however, that if the 2005/06 response had been a comprehensive one across the country the increase in planned investment in priority areas in the current year would have been greater than the £204.5 million identified in table ten.

Appendix four also analyses the real change in planned investment for each SHA over the key priority areas between 2004/05 and 2005/06.

**TABLE TEN: Cumulative Planned Investment 2001/02 to 2005/06 for Key Areas by SHA (at 2005/06 Pay & Price Levels)**

Strategic Health Authority	2001/02 (£'000)	2002/03 (£'000)	2003/04 (£'000)	2004/05 (£'000)	2005/06 (£'000)
Avon, Gloucestershire & Wiltshire	3,108	3,924	7,226	7,541	9,094
Bedfordshire & Hertfordshire	2,545	3,018	7,040	7,529	9,051
Birmingham & The Black Country	11,676	13,265	18,105	20,417	24,500
Cheshire & Merseyside	3,015	5,202	7,970	11,883	15,304
County Durham & Tees Valley	2,584	3,888	4,464	6,489	8,013
Cumbria & Lancashire	3,361	2,169	4,583	9,172	13,425
Dorset & Somerset	1,992	2,263	3,242	3,585	3,972
Essex	1,411	604	4,256	7,459	9,539
Greater Manchester	3,232	3,642	4,567	7,406	11,729
Hampshire & Isle of Wight	2,133	1,842	4,735	6,508	7,636
Kent & Medway	1,052	1,222	1,001	3,132	7,152
Leicestershire, Northamptonshire & Rutland	1,765	2,448	4,282	7,308	9,628
Norfolk, Suffolk & Cambridgeshire	3,264	4,093	6,695	9,497	12,112
North & East Yorkshire & Northern Lincolnshire	3,274	4,298	5,271	7,619	10,034
North Central London	4,861	5,387	5,518	7,660	6,031 *
North East London	2,878	3,867	6,001	10,899	14,769
North West London	2,127	5,073	7,113	9,181	14,186
Northumberland, Tyne & Wear	5,532	6,293	6,220	8,603	10,464
Shropshire & Staffordshire	914	1,431	3,531	5,077	4,776 *
South East London	5,875	7,751	10,884	11,511	9,623 *
South West London	2,409	4,652	5,156	4,715	6,063
South West Peninsula	4,897	4,130	5,214	9,470	9,254 *
South Yorkshire	3,303	3,012	3,725	7,372	10,004
Surrey & Sussex	5,474	5,098	5,943	11,108	10,155 *
Thames Valley	2,137	3,608	6,038	6,721	7,424
Trent	3,221	5,590	8,419	13,737	20,700
West Midlands South	2,312	3,446	3,765	7,661	10,314
West Yorkshire	3,686	4,274	6,409	11,265	13,590
<b>Total Investment in these areas</b>	<b>94,039</b>	<b>115,488</b>	<b>167,372</b>	<b>240,525</b>	<b>298,543</b>

Table ten above indicates that in five SHAs, investment in key areas fell between 2004/05 and 2005/06. Four of these arise as a result of the relatively high level of unreported investment in the current year compared with 2004/05. In North Central London no return was made by Enfield LIT; in Shropshire and Staffordshire the Shropshire County PCT failed to submit a return and in South West Peninsula no response was received from Cornwall and Isles of Scilly LIT. In Surrey & Sussex no return was received from West Sussex LIT and no local authority files were submitted by East Surrey and Guildford and Waverley LITs.

In South East London, reductions in spend in key areas were reported by the South London and Maudsley NHS Trust.

### 3. CONCLUSIONS

The “real” annual increase in investment of 8.96% in 2004/05 has not been continued in 2005/06, but an increase of 3.13% has been achieved after taking account of estimated pay and price inflation within the year of 5.2%.

The report identifies that, of the overall ‘real’ increase of £149 million in adult mental health investment nationally in 2005/06 (see table four), at least £58 million has been applied to the continued development of priority services identified in the NSF/NHS plan (see table ten). This demonstrates a continuing commitment to the strategic development of adult mental health services at local level.

Whilst the deterioration in reported data quality in some areas and the increase in unreported investment (see section one) are disappointing, the results incorporated into this document following validation can be treated with a high level of confidence. It follows that the LIT and PCT reports circulated at the conclusion of the autumn review exercise can be used by the field to evaluate and compare their progress towards NSF and NHS Plan strategic targets.

## **APPENDIX ONE**

Changes to the Finance Mapping Process for 2005/06

As in 2004/05, LITs were required to submit two separate and independent maps, but with the following changes:

- (i) **MAP ONE.** The returns in respect of adult mental health and child and adolescent mental health services (CAMHS) are unchanged, but a single high level planned outturn total in respect of older people's mental health services (OPMHS) is no longer required. For 2005/06 OPMHS expenditure will be reported by NHS trusts by 3<sup>rd</sup> March 2006 and will be the subject of a separate reporting process.
  
- (ii) **MAP TWO,** completed by PCTs, as a further analysis of the 2004/05 Programme Budgeting Category 5 figure submitted to the Department of Health in August 2005, contains a simplified analysis of the three major sub-specialties of mental health (see appendix five - Table A for details).

## **APPENDIX TWO**

Methodology for Estimating Value of Unreported  
Investment in 2001/02 to 2005/06

## 2001/02

Data submitted by three LITs was not able to be incorporated in the database as the spreadsheets had been tampered with. The LITs were:

- o Coventry
- o Ealing, Hammersmith, Fulham and Hounslow
- o Berkshire.

The missing data was estimated to be £125 million.

## 2002/03

Six LITs submitted no data and a number of local authorities completed no returns. The estimated level of unreported information was as per the following table:

LIT	REGION				
	London (£m)	Midlands & Eastern (£m)	North (£m)	South (£m)	Total All England (£m)
Barking & Dagenham	24				
Havering					
Enfield (LA)	2.1				
Tower Hamlets (LA)	7				
Norfolk		9			
Suffolk		34			
South Essex		56			
Sandwell		18			
Telford & Wrekin (submitted later)		9			
Dudley (LA)		2			
North Derbyshire (LA)		4			
Eastleigh & Test Valley				30	
North Hampshire					
West Sussex (LA)				7	
Slough (LA)				2	
Bristol (LA)				2	
Gloucestershire (LA)				3.8	
Guildford & Waverley (NHS)				8	
Easington (LA)			1.1		
<b>Total</b>	<b>33.1</b>	<b>132</b>	<b>1.1</b>	<b>53.8</b>	<b>220</b>

## 2003/04

One LIT, Barking & Dagenham, failed to submit a return and two local authority maps were also missing. Estimated value for unreported investment was:

Barking & Dagenham	£26 million
Bath & NE Somerset Local Authority	£3 million
Walsall Local Authority	£4 million
	<b>£33 million</b>

**2004/05**

One LIT, Eastleigh and Test Valley South, failed to submit any data and seven local authority maps were also missing. The estimated value of the missing information is shown in the table below:

LIT	REGION				
	London (£m)	Midlands & Eastern (£m)	North (£m)	South (£m)	Total All England (£m)
City & Hackney (LA)	3.6				
Enfield (LA)	8.8				
Richmond & Twickenham (LA)	1.5				
Shropshire (LA)		3.3			
West Norfolk (LA)		0.5			
Eastern Birmingham		2.8			
North Birmingham		0.2			
Eastleigh & Test Valley South				8.2	
Guildford & Waverley (NHS)				11.7	
Plymouth (LA)				2.7	
Swindon (LA)				3.0	
<b>Total</b>	<b>13.9</b>	<b>6.8</b>	<b>--</b>	<b>25.6</b>	<b>46.3</b>

## 2005/06

Five LITs submitted no returns, although it was possible to provide details of investment in medium and low secure services for one of them, Shropshire, using data supplied by the West Midlands Specialised Services Agency. Telford & Wrekin LIT submitted a return but this did not include investment with their principal provider, Shropshire County PCT, which produced no data for 2005/06. Five local authorities failed to provide returns and four LITs submitted no details of services commissioned from non-statutory providers.

LIT	Investment Estimated	REGION				
		London (£m)	Midlands & Eastern (£m)	North (£m)	South (£m)	Total All England (£m)
<b><u>No Submission from LIT</u></b>						
Cornwall & Isles of Scilly	All				39.892	
Enfield	All	45.739				
West Norfolk	All		5.526			
West Sussex	All				62.979	
Shropshire	All except secure services		16.694			
<b><u>No Main Provider Trust File</u></b>						
Telford & Wrekin	Shropshire County PCT		8.457			
<b><u>No Local Authority Files</u></b>						
East Surrey					5.742	
Brent		10.350				
Harrow		8.707				
North Somerset					6.140	
Guildford & Waverley					3.060	
<b><u>No Non-Statutory Files</u></b>						
Hillingdon		6.878				
South Wiltshire					1.591	
Warrington				1.898		
North Somerset	NHS file				1.062	
<b>Total</b>		<b>71.674</b>	<b>30.677</b>	<b>1.898</b>	<b>120.466</b>	<b>224.715</b>

## **APPENDIX THREE**

Detailed 2005/06 Planned Investment in Service Areas

## Detailed 2005/06 Planned Investment in Service Areas

### ENGLAND Summary 2005/06 - Adults Only

Total Reported Investment in Working Age Adult only = £4,679,038.22

Financial Year: 2005/06	Planned Outturn in £'000s	% of Working Age Adult Investment	
		% of Total	% of Direct
<b>Cost Group</b>	<b>DIRECT COSTS</b>		
<b>Access &amp; Crisis Services</b>			
Homeless Mental Health Service	£8,647.84		
Access & Crisis Services not allocated to service	£10,837.12		
Assertive Outreach Team	£101,423.87		
ASW Service	£11,675.22		
Crisis Accommodation	£8,365.32		
Crisis Resolution Home Treatment Team	£155,225.32		
Early Intervention in Psychosis Service	£41,893.40		
Emergency Clinics / Walk-in Clinic	£3,915.65		
Emergency Duty Team	£8,887.07		
A&E Mental Health Liaison Service	£17,902.26		
<b>Sub Total and % of Total Combined</b>	<b>£368,773.08</b>	<b>7.9%</b>	<b>9.8%</b>
<b>Accommodation</b>			
Supported Housing	£50,245.58		
Staffed Group Home	£19,158.77		
Local Authority and Registered Residential Care Home	£251,830.90		
Hostel	£17,462.24		
Board and Lodgings Scheme	£743.20		
Accommodation not allocated to service categories	£19,067.78		
Unstaffed Group Home	£800.78		
Adult/family Placement Scheme	£2,461.02		
<b>Sub Total and % of Total Combined</b>	<b>£361,770.27</b>	<b>7.7%</b>	<b>9.7%</b>
<b>Carer's Services</b>			
Self-help, Mutual Aid Group for Carers	£202.05		
Short-term Breaks/Respite Care Service	£5,218.24		
Carers' Support Service	£8,523.33		
Carers' Support Group	£576.09		
Carers' Support Workers	£4,178.07		
<b>Sub Total and % of Total Combined</b>	<b>£18,697.79</b>	<b>0.4%</b>	<b>0.5%</b>
<b>Clinical Services</b>			
Psychiatric Outpatient Clinics	£97,451.98		
Specialist mental health services	£56,739.94		
Psychiatric Liaison Service - Gen Med, Surg & Palliative Care	£7,273.33		
NHS Day Care Facility	£59,485.15		
Clinical Services not allocated to service categories	£41,929.96		
Acute Inpatient Unit/Ward	£574,860.74		
<b>Sub Total and % of Total Combined</b>	<b>£837,741.10</b>	<b>17.9%</b>	<b>22.4%</b>

<i>Financial Year:</i> 2005/06	Planned Outturn in £'000s	% of Working Age Adult Investment	
		% of Total	% of Direct
<b>Community Mental Health Teams</b>			
Community Mental Health Team	£549,206.91		
<b>Sub Total and % of Total Combined</b>	<b>£549,206.91</b>	11.7%	14.7%
<b>Continuing Care</b>			
24 Hour Nurse Staffed Care	£73,824.65		
24 Hour Staffed Care - Non NHS Registered Nursing	£62,090.32		
Continuing Care not allocated to service categories Rehabilitation or Continuing Care Team	£44,418.47		
Residential Rehabilitation Unit	£47,922.17		
	£156,028.04		
<b>Sub Total and % of Total Combined</b>	<b>£384,283.64</b>	8.2%	10.3%
<b>Day Services</b>			
Education and Leisure Opportunity	£3,455.26		
Employment Scheme	£30,739.56		
Women-only community day services	£2,012.79		
Day Centres/Resource Centre/Drop-in	£114,339.55		
<b>Sub Total and % of Total Combined</b>	<b>£150,547.15</b>	3.2%	4.0%
<b>Direct Payment</b>			
Direct Payments	£2,484.49		
<b>Sub Total and % of Total Combined</b>	<b>£2,484.49</b>	0.1%	0.1%
<b>Home Support Services</b>			
Home/Community Support Service	£59,677.69		
Housing support	£31,763.05		
<b>Sub Total and % of Total Combined</b>	<b>£91,440.73</b>	2.0%	2.4%
<b>Mental Health Promotion Services</b>			
Mental health promotion	£3,146.40		
<b>Sub Total and % of Total Combined</b>	<b>£3,146.40</b>	0.1%	0.1%
<b>Other community and hospital professional</b>			
Other community and/or hospital professional	£41,485.76		
Primary care mental health worker	£23,191.23		
Gateway workers	£10,263.03		
CDW workers	£1,064.83		
STaR workers	£10,005.90		
<b>Sub Total and % of Total Combined</b>	<b>£86,010.75</b>	1.8%	2.3%
<b>Personality Disorder Services</b>			
Personality disorder service	£10,401.07		
<b>Sub Total and % of Total Combined</b>	<b>£10,401.07</b>	0.2%	0.3%

<i>Financial Year:</i> 2005/06	Planned Outturn in £'000s	% of Working Age Adult Investment	
		% of Total	% of Direct
<b>Psychological Therapy Services</b>			
Psychology Therapies and Counselling Services	£100,080.14		
Psychology Therapy Services not allocated to service	£7,275.89		
Specialist Psychotherapy Service	£27,136.26		
Voluntary/Private Counselling and/or Psychotherapy	£7,554.84		
<b>Sub Total and % of Total Combined</b>	<b>£142,047.13</b>	<b>3.0%</b>	<b>3.8%</b>
<b>Secure and High Dependency Provision</b>			
Local Medium Secure Service	£96,520.48		
Secure and High Dependency Provision not allocated	£80,179.48		
Local Low Secure Service	£145,148.67		
Local Psychiatric Intensive Care Unit	£96,680.31		
Regional Medium Secure Service	£242,106.05		
<b>Sub Total and % of Total Combined</b>	<b>£660,634.99</b>	<b>14.1%</b>	<b>17.6%</b>
<b>Services for Mentally Disordered Offenders</b>			
Community Forensic Service	£13,737.26		
Criminal Justice Liaison and Diversion Service	£7,510.38		
Mentally Disordered Offenders not allocated to service	£6,339.59		
Prison Psychiatric Inreach Service	£10,319.12		
<b>Sub Total and % of Total Combined</b>	<b>£37,906.34</b>	<b>0.8%</b>	<b>1.0%</b>
<b>Support Services</b>			
Advocacy Services	£9,904.57		
Support Services not allocated to service categories	£9,532.50		
Staff-facilitated Support Groups	£2,166.04		
Service User Groups	£5,434.94		
Self-help and Mutual Aid Group	£2,809.84		
Befriending and Volunteering Schemes	£2,981.64		
Advice and Information Services	£8,505.02		
Patient Advice and Liaison Service PALS	£2,052.81		
<b>Sub Total and % of Total Combined</b>	<b>£43,387.36</b>	<b>0.9%</b>	<b>1.2%</b>
<b>Total for DIRECT COSTS</b>	<b>£3,748,479.20</b>		
<b>Cost Group</b>		<b>INDIRECT COST</b>	
<b>Indirect costs</b>			
Cost of Underpinning strategies-Adults	£9,083.10		
Indirect costs- Adults	£385,964.14		
<b>Sub Total and % of Total Combined</b>	<b>£395,047.23</b>	<b>8.4%</b>	<b>10.5%</b>
<b>Total for INDIRECT COSTS</b>	<b>£395,047.23</b>		

*Financial Year:* 2005/06

**Planned Outturn in  
£'000s**

**% of Working Age  
Adult Investment**  
**% of      % of**  
**Total      Direct**

**Cost Group**

**Capital Charges**

CAPITAL CHARGES - ADULTS

**Sub Total and % of Total Combined**

**Total for CAPITAL CHARGES**

**CAPITAL CHARGE**

£144,529.70

**£144,529.70**

**£144,529.70**

3.1%

3.9%

**Cost Group**

**Overhead**

OVERHEADS - ADULTS

**Sub Total and % of Total Combined**

**Total for = OVERHEADS**

**OVERHEADS**

£390,982.09

**£390,982.09**

**£390,982.09**

8.4%

10.4%

***Grand Total Reported Investment  
in Working Age Adult MH only***

**£4,679,038.22**

**APPENDIX FOUR**

Difference in Planned Real Terms Investment 2004/05 to  
2005/06 for Key Areas by SHA

## Changes in Investment 2004/05 to 2005/06 for Key Areas by SHA

SHA	Assertive Outreach Teams  £'000s	Crisis Resolution Home Treatment Teams  £'000s	Early Intervention in Psychosis Services  £'000s
Avon, Gloucestershire & Wiltshire	-303	1,740	116
Bedfordshire & Hertfordshire	134	1,048	341
Birmingham & the Black Country	-35	2,171	1,947
Cheshire & Merseyside	-572	2,507	2,355
County Durham & Tees Valley	192	426	905
Cumbria & Lancashire	-1,078	3,284	2,046
Dorset & Somerset	-4	258	132
Essex	-166	2,047	199
Greater Manchester	548	2,228	678
Hampshire & Isle of Wight	269	1,317	-458
Kent & Medway	-25	2,978	1,067
Leicestershire, Northamptonshire & Rutland	382	1,354	584
Norfolk, Suffolk & Cambridgeshire	797	1,365	453
North & East Yorkshire & Northern Lincolnshire	398	1,767	250
North Central London	-284	-1,240	-106
North East London	605	1,550	1,715
North West London	979	3,360	666
Northumberland, Tyne & Wear	411	1,222	228
Shropshire & Staffordshire	-366	39	27
South East London	-336	-1,522	-30
South West London	543	679	127
South West Peninsula	125	-655	313
South Yorkshire	236	1,436	960
Surrey & Sussex	-2,181	1,346	-117
Thames Valley	224	-326	805
Trent	435	5,106	1,422
West Midlands South	20	2,195	438
West Yorkshire	365	2,070	-111
<b>Total SHA Key Area Investment</b>	<b>1,312</b>	<b>39,753</b>	<b>16,953</b>

**APPENDIX FIVE**  
PCTs' Analysis of the 2004/05 Category 5  
Programme Budgeting Figures

In the 2005 finance mapping exercise PCTs were required to further analyse the Category 5 (mental health) programme budgeting figure that they had submitted to the Department of Health in August 2005 in respect of the financial year 2004/05. The required analysis was to break down the mental health specialty into three main sub specialties, with a revised analysis of each sub-specialty rather than the one required in 2004. Table A below shows the level of detail requested:

Mental Health Autumn Assessment 2005 position statement		
Mental Health PCT Programme Budgeting Analysis		
PCT	<input type="text" value="SELECT COMMISSIONER HERE"/>	Investment in 2004/05 in £'000s
<b>ADULT MENTAL HEALTH</b>		
NHS - Primary Care	£	-
- Secondary Care	£	-
- Tertiary Care	£	-
Services Provided by Non-Statutory Organisations	£	-
PCT Administration Costs	£	-
<b>Total Adult Mental health</b>	<b>£</b>	<b>-</b>
<b>CHILD AND ADOLESCENT MENTAL HEALTH</b>		
NHS - Primary Care	£	-
- Secondary Care	£	-
- Tertiary Care	£	-
Services Provided by Non-Statutory Organisations	£	-
PCT Administration Costs	£	-
<b>Total CAMHS</b>	<b>£</b>	<b>-</b>
<b>OLDER PEOPLE'S MENTAL HEALTH</b>		
NHS - Primary Care	£	-
- Secondary Care	£	-
- Tertiary Care	£	-
Services Provided by Non-Statutory Organisations	£	-
PCT Administration Costs	£	-
<b>Total Older People's Mental Health</b>	<b>£</b>	<b>-</b>
<b>TOTAL MENTAL HEALTH EXPENDITURE PER PROGRAMME BUDGETING FINANCIAL RETURN</b>	<b>£</b>	<b>-</b>

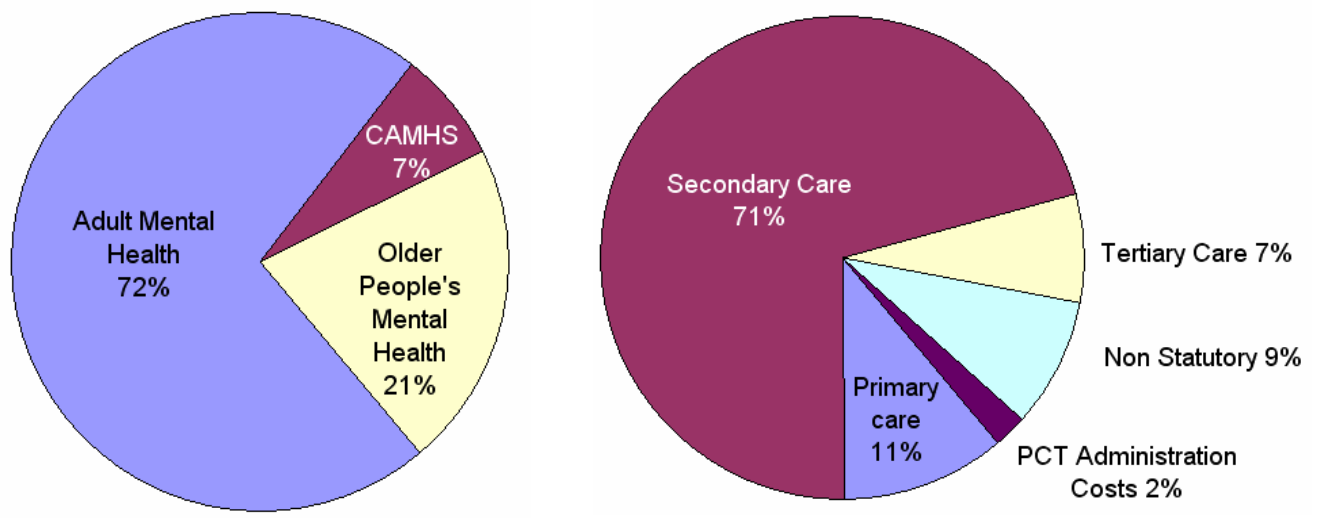
**Advice:**  
Only one form needs to be completed by each PCT.

PCTs **MUST** ensure that the analysis provided balances back to the Category 5 figure reported to the Department of Health in Autumn 2005 in respect of the FINANCIAL YEAR 2004/05.

Enter amounts to your desired number of decimal places but the figures will be rounded for display purposes to 2 decimal places.

Please save the file as FM-PCTPB followed by name of PCT  
e.g. Barnet PCT should save their file under the name  
FM-PCTPB Barnet.xls

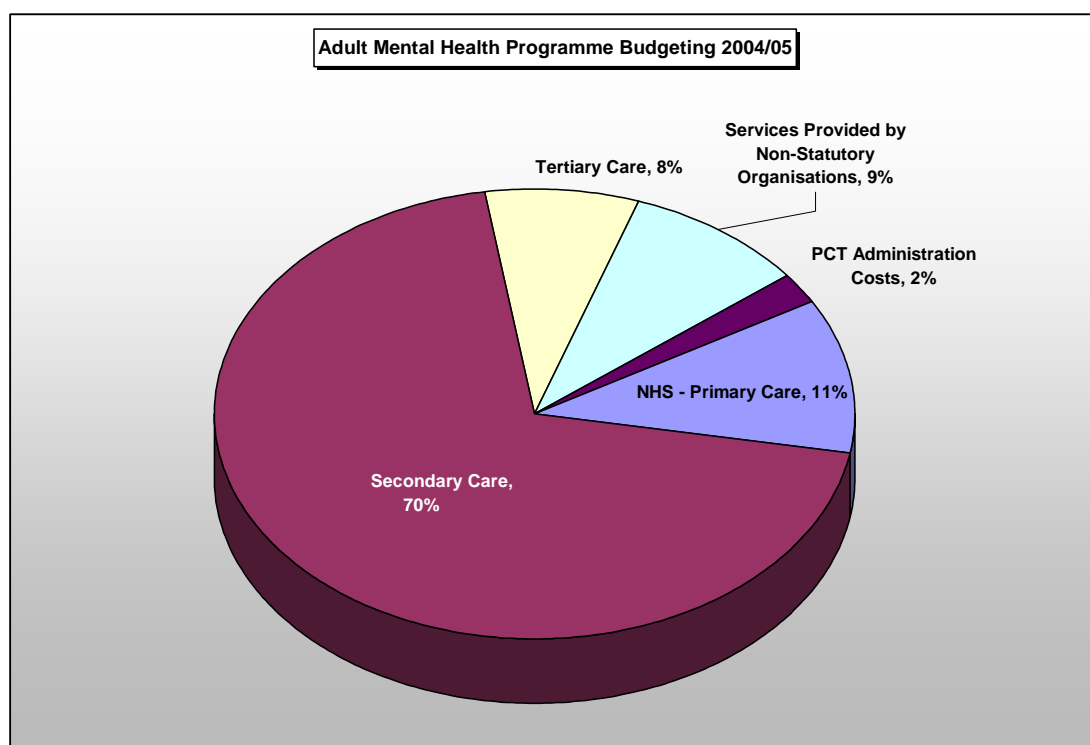
Programme budgeting returns were received from 245 PCTs (81%) of the 303 in England with total investment reported as £5.90 billion as summarised below and overleaf.

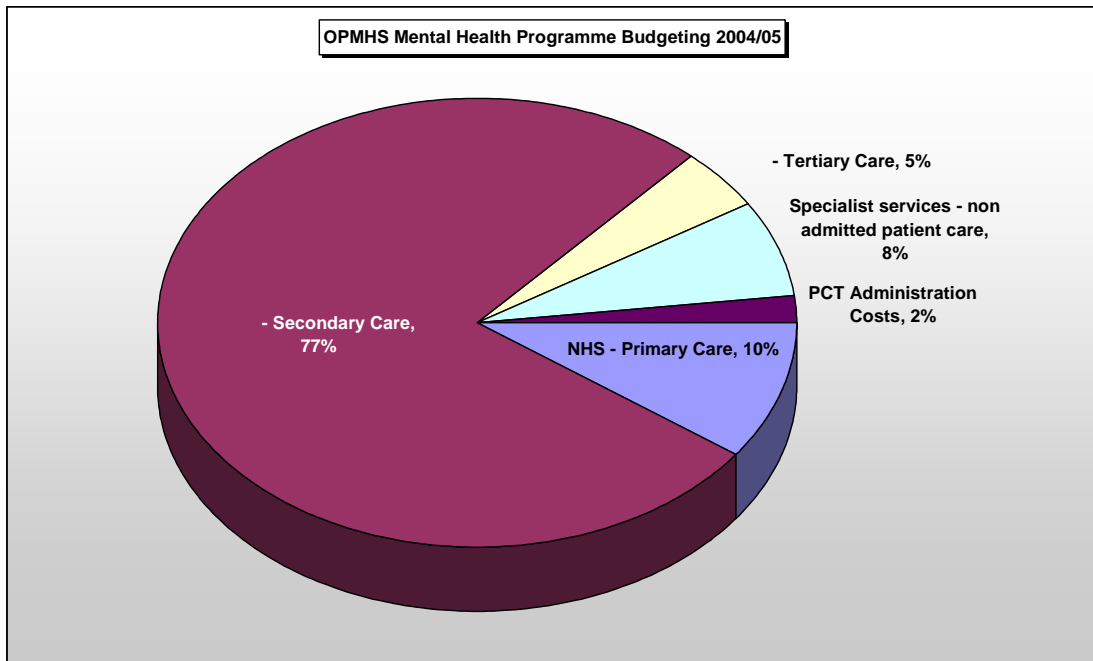
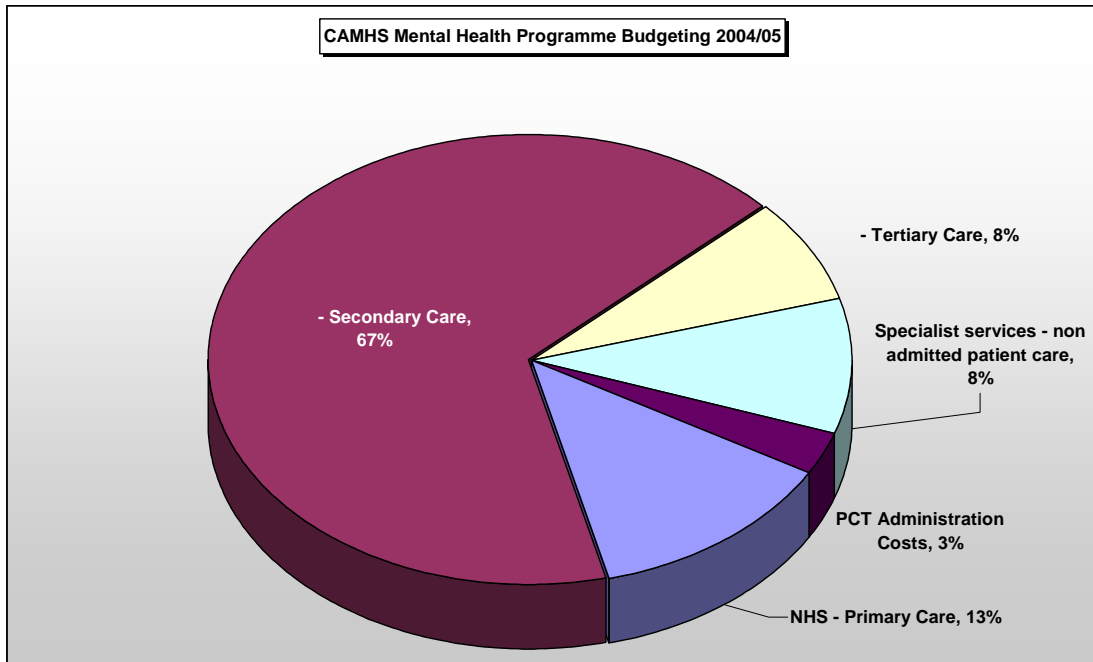


The total reported investment is tabled below.

**PCT PROGRAMME BUDGETING - REPORTED EXPENDITURE 2004/05**

	Investment in £'millions
NHS - Primary Care	£469
- Secondary Care	£2,955
- Tertiary Care	£332
Services Provided by Non-Statutory Organisations	£388
PCT Administration Costs	£95
<b>Total Adult Mental health</b>	<b>£4,240</b>
NHS - Primary Care	£54
- Secondary Care	£279
- Tertiary Care	£32
Services Provided by Non-Statutory Organisations	£40
PCT Administration Costs	£13
<b>Total CAMHS</b>	<b>£418</b>
NHS - Primary Care	£125
- Secondary Care	£955
- Tertiary Care	£58
Services Provided by Non-Statutory Organisations	£84
PCT Administration Costs	£26
<b>Total Older People's mental health</b>	<b>£1,248</b>
<b>TOTAL MENTAL HEALTH EXPENDITURE PER PROGRAMME BUDGETING FINANCIAL RETURN</b>	<b>£5,906</b>





The data should be considered bearing in mind the following caveats:

- i) Verification of the data against the original returns to the Department of Health has not been undertaken by Mental Health Strategies as the original submissions by PCTs in August 2005 have not been made available.
- ii) It must not be assumed that the programme budgeting investment reported by PCTs is comparable to that submitted in map one. The programme budgeting data refers to commissioner spend on mental health in the financial year 2004/05, whilst the map one data gives details of planned spend in 2005/06.

- iii) The programme budgeting information covers spend by NHS commissioners only (i.e. not that by social services) and includes spend on primary care prescribing, PCT overheads, high secure services and drug and alcohol services, none of which are included in the map one returns.
- iv) Examination of some of the working papers used to produce the programme budgeting returns has demonstrated that the percentage of expenditure allocated to the sub specialties by apportionment is often as high as 40%, with PCTs finding particular difficulty with the allocation of prescribing costs and PCT overheads.

**APPENDIX SIX**  
Planned Investment 2005/06 in CAMHS

The table below presents SHAs planned investment in CAMHS 2005/06

SHA	Weighted All Ages Populations	Investment £'000s	Weighted Investment per Head (£s)
AVON, GLOUCESTERSHIRE AND WILTSHIRE	1,758,936	5,191	£2.95
BEDFORDSHIRE AND HERTFORDSHIRE	1,408,581	12,239	£8.69
BIRMINGHAM AND THE BLACK COUNTRY	2,834,409	14,464	£5.10
CHESHIRE AND MERSEYSIDE	2,637,996	13,163	£4.99
COUNTY DURHAM AND TEES VALLEY	1,259,180	10,616	£8.43
CUMBRIA AND LANCASHIRE	1,922,223	4,132	£2.15
DORSET AND SOMERSET	919,067	7,156	£7.79
ESSEX	1,348,743	15,833	£11.74
GREATER MANCHESTER	3,024,636	9,473	£3.13
HAMPSHIRE AND ISLE OF WIGHT	1,512,557	9,460	£6.25
KENT AND MEDWAY	1,398,615	8,054	£5.76
LEICS, NORTHANTS AND RUTLAND	1,375,361	11,125	£8.09
NORFOLK, SUFFOLK AND CAMBRIDGESHIRE	1,737,021	20,703	£11.92
NORTH AND EAST YORKSHIRE AND NORTH LINCS.	1,408,157	11,726	£8.33
NORTH CENTRAL LONDON	1,648,384	14,187	£8.61
NORTH EAST LONDON	2,320,350	13,125	£5.66
NORTH WEST LONDON	2,293,260	15,230	£6.64
NORTHUMBERLAND, TYNE AND WEAR	1,559,172	10,176	£6.53
SHROPSHIRE AND STAFFORDSHIRE	1,329,515	5,956	£4.48
SOUTH EAST LONDON	1,896,159	18,138	£9.57
SOUTH WEST LONDON	1,325,123	15,261	£11.52
SOUTH WEST PENINSULA	1,290,472	4,489	£3.48
SOUTH YORKSHIRE	1,357,828	2,451	£1.81
SURREY AND SUSSEX	1,934,113	6,356	£3.29
THAMES VALLEY	1,747,412	16,286	£9.32
TRENT	2,359,060	15,342	£6.50
WEST MIDLANDS SOUTH	1,361,037	7,119	£5.23
WEST YORKSHIRE	2,260,510	5,855	£2.59
<b>Totals:</b>	<b>49,227,877</b>	<b>303,305</b>	<b>£6.16</b>

Comparisons of the investment per weighted head of population between the 28 SHAs should take account of the following:

1. The populations applied to CAMHS investment are the LIT all ages ones weighted for market forces factor index, emergency ambulance cost adjustment and mental health need index. The all ages population has been used because:
  - i) 0-18 populations from the 2001 census are not available, and
  - ii) Graduation from CAMHS to adult services varies from provider to provider.
2. The data in respect of CAMHS covers investment by NHS commissioners only and is restricted to services provided by mental health trusts and non-statutory organisations. This makes comparisons between different SHAs in England difficult, particularly between those containing a specialist children's trust providing CAMHS and others who commission their CAMHS services from a specialist mental health trust.